

# Design & Appraisal Stage Quality Assurance Report

**Form Status: In Progress**

|                                  |  |
|----------------------------------|--|
| <b>Overall Rating:</b>           |  |
| <b>Decision:</b>                 |  |
| <b>Portfolio/Project Number:</b> | 00129497   |
| <b>Portfolio/Project Title:</b>  | Rec. temprana y resiliente de comunidades ante COVID19 |
| <b>Portfolio/Project Date:</b>   | 2021-01-01 / 2024-12-31                                |

## Strategic

## Quality Rating:

1. Does the project specify how it will contribute to higher level change through linkage to the programme's Theory of Change?

- 3:** *The project is clearly linked to the programme's theory of change. It has an explicit change pathway that explains how the project will contribute to outcome level change and why the project's strategy will likely lead to this change. This analysis is backed by credible evidence of what works effectively in this context and includes assumptions and risks.*
- 2:** The project is clearly linked to the programme's theory of change. It has a change pathway that explains how the project will contribute to outcome-level change and why the project strategy will likely lead to this change.
- 1:** The project document may describe in generic terms how the project will contribute to development results, without an explicit link to the programme's theory of change.

### Evidence:

El proyecto revisó información nacional como el Informe de Desarrollo Humano e Impacto del COVID 19 en México del PNUD, el Informe Especial COVID 19 "Enfrentar los efectos cada vez mayores del COVID-19 para una reactivación con igualdad: nuevas proyecciones, de la CEPAL ambos del 2020; así como de la aplicación de la Evaluación de Afectaciones y Necesidades Comunitarias y Sectoriales elaborada durante el Initiation Plan aportando los insumos para el árbol de problemas, árbol de resultados y la teoría de cambio del mismo. \*Ver pp. 3-5 del PRODOC.

### List of Uploaded Documents

| # | File Name  | Modified By           | Modified On          |
|---|--|-----------------------|----------------------|
| 1 | TeoriadeCambio_Estrategia_7985_101 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TeoriadeCambio_Estrategia_7985_101.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TeoriadeCambio_Estrategia_7985_101.pdf</a> ) | irene.cauich@undp.org | 3/25/2021 3:13:00 AM |

2. Is the project aligned with the UNDP Strategic Plan?

- 3: The project responds to at least one of the development settings as specified in the Strategic Plan<sup>1</sup> and adapts at least one Signature Solution<sup>2</sup>. The project's RRF includes all the relevant SP output indicators. (all must be true)
- 2: The project responds to at least one of the development settings as specified in the Strategic Plan<sup>4</sup>. The project's RRF includes at least one SP output indicator, if relevant. (both must be true)
- 1: The project responds to a partner's identified need, but this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

**Evidence:**

Este proyecto se asocia con la configuración de desarrollo del Plan Estratégico sobre fomentar la resiliencia a los choques y las crisis; así como a la solución firma sobre mejorar las capacidades nacionales de prevención y recuperación para alcanzar sociedades resilientes, esto queda expresado en el documento de proyecto en la sección V Marco de resultados y recursos, en el apartado inicial sobre alineamiento a los instrumentos de planeación del PNUD. \* Ver p p. 2, 13-14 y 26 del PRODOC.

**List of Uploaded Documents**

| # | File Name   | Modified By           | Modified On         |
|---|---|-----------------------|---------------------|
| 1 | V.MarcodesResultados_7985_102 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/V.MarcodesResultados_7985_102.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/V.MarcodesResultados_7985_102.docx</a> ) | irene.cauich@undp.org | 4/7/2021 5:07:00 AM |

3. Is the project linked to the programme outputs? (i.e., UNDAF Results Group Workplan/CPD, RPD or Strategic Plan IRRF for global projects/strategic interventions not part of a programme)

- Yes
- No

**Evidence:**

El alineamiento del proyecto y su contribución al MAND, CPD y SP quedan anotados en las páginas 2, 13-14 y 26 del PRODOC.

**List of Uploaded Documents**

| # | File Name   | Modified By           | Modified On          |
|---|---|-----------------------|----------------------|
| 1 | V.MarcodesResultados_7985_103 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/V.MarcodesResultados_7985_103.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/V.MarcodesResultados_7985_103.pdf</a> ) | irene.cauich@undp.org | 3/25/2021 4:23:00 AM |

**Relevant****Quality Rating:**

4. Do the project target groups leave furthest behind?

- 3: *The target groups are clearly specified, prioritising discriminated, and marginalized groups left furthest behind, identified through a rigorous process based on evidence.*
- 2: The target groups are clearly specified, prioritizing groups left furthest behind.
- 1: The target groups are not clearly specified.

**Evidence:**

Se elaboró un perfil socioeconómico de las comunidades con base en datos poblacionales del INEGI (2010) entre las que se encuentran población total, % de población económicamente activa, población hablante de lengua indígena, población analfabeta y tomado de CONAPO (2012) el grado de marginación que en la mayoría corresponden a alto y muy alto nivel de marginación. Así, se seleccionaron 118 comunidades en las que se aplicó a representantes comunitarios la Evaluación de Afectaciones y Necesidades Comunitarias asociadas a COVID; dado que sus condiciones de economía familiar están estrechamente vinculadas a la producción agrícola y a los ingresos a través de los sectores de economía social como la apicultura, turismo comunitario, producción de totopos, café, cacao, artesanías o frutales y que pudieran interrumpirse o agotar sus reservas a causa de la pandemia.

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5. Have knowledge, good practices, and past lessons learned of UNDP and others informed the project design?

- 3: *Knowledge and lessons learned backed by credible evidence from sources such as evaluation, corporate policies/strategies, and/or monitoring have been explicitly used, with appropriate referencing, to justify the approach used by the project.*
- 2: The project design mentions knowledge and lessons learned backed by evidence/sources but have not been used to justify the approach selected.
- 1: There is little, or no mention of knowledge and lessons learned informing the project design. Any references made are anecdotal and not backed by evidence.

**Evidence:**

Desde su diseño, el proyecto se ha inspirado en PD NA, blindaje de inversiones, análisis macro asociados a la pandemia...  
 CEPAL  
 INFORME DES HUM PNUD

o de ciclo de proyectos enfoque basado en resultados? Preguntar a Luis a qué se refiere porque está en apartado de pertinencia

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6. Does UNDP have a clear advantage to engage in the role envisioned by the project vis-à-vis national / regional / global partners and other actors?

- 3: *An analysis has been conducted on the role of other partners in the area where the project intends to work, and credible evidence supports the proposed engagement of UNDP and partners through the project, including identification of potential funding partners. It is clear how results achieved by partners will complement the project's intended results and a communication strategy is in place to communicate results and raise visibility vis-à-vis key partners. Options for south-south and triangular cooperation have been considered, as appropriate. (all must be true)*
- 2: Some analysis has been conducted on the role of other partners in the area where the project intends to work, and relatively limited evidence supports the proposed engagement of and division of labour between UNDP and partners through the project, with unclear funding and communications strategies or plans.
- 1: No clear analysis has been conducted on the role of other partners in the area that the project intends to work. There is risk that the project overlaps and/or does not coordinate with partners' interventions in this area. Options for south-south and triangular cooperation have not been considered, despite its potential relevance.

#### Evidence:

El PNUD ha sido de los primeros en proponer acciones para la recuperación resiliente y plantear este enfoque a socios nacionales e internacionales logrando durante el Initiation Plan la suma de esfuerzos. Esto permitió la Multiplicación y suma de cofinanciamiento compartiendo la lógica de recuperación de las economías locales del proyecto en varias iniciativas tales como la donación inicial de Zurich Foundation, la Convocatoria junto conjunta con Fomento Social Citi-Banamex para empresas sociales y con Ensamble Artesano, la Coinversión para establecer sistemas locales de acceso al agua con Rotary International (más de 60 clubes en México), la Convocatoria especial de recuperación ante Tormenta Cristóbal con PPD-FMAM y Fundación Kellogg dirigida a la resiliencia de comunidades indígenas, Convocatoria para ONG de Fundación Merced para promover comunidades resilientes, la Convocatoria para organizaciones de mujeres y liderazgo femenino en la recuperación ante COVID-19, de FONDO SEMILLAS y la alianza con el Gobierno de Morelos para el fortalecimiento del sector agroforestal, así como la replicación del modelo en 18 comunidades.

con la propuesta la atención de fundaciones privadas nacionales e internacionales, gobiernos subnacionales y a la redes de aliados sociales en terreno, que permitirán tener operatividad económica y social en el contexto de la pandemia.

### List of Uploaded Documents

| # | File Name   | Modified By           | Modified On          |
|---|---|-----------------------|----------------------|
| 1 | FinanciacAgreementZURICHUNDPRecovery postCOVID19v3_7985_106 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/FinanciacAgreementZURICHUNDPRecovery postCOVID19v3_7985_106.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/FinanciacAgreementZURICHUNDPRecovery postCOVID19v3_7985_106.pdf</a> ) | irene.cauich@undp.org | 3/30/2021 5:43:00 AM |
| 2 | UDP-MEX-20-728_PD_7985_106 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/UDP-MEX-20-728_PD_7985_106.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/UDP-MEX-20-728_PD_7985_106.pdf</a> )  | irene.cauich@undp.org | 3/30/2021 6:55:00 PM |
| 3 | MOUSectorPrivadoAGUACOV19-RotaryPNUdv.FINALfirmado_7985_106 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/MOUSectorPrivadoAGUACOV19-RotaryPNUdv.FINALfirmado_7985_106.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/MOUSectorPrivadoAGUACOV19-RotaryPNUdv.FINALfirmado_7985_106.pdf</a> ) | irene.cauich@undp.org | 3/30/2021 6:56:00 PM |
| 4 | 03AnexoTécnicomorelosRecupCOVID19firmado_7985_106 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/03AnexoTécnicomorelosRecupCOVID19firmado_7985_106.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/03AnexoTécnicomorelosRecupCOVID19firmado_7985_106.pdf</a> )                               | irene.cauich@undp.org | 3/30/2021 7:00:00 PM |

### Principled

### Quality Rating:

7. Does the project apply a human rights-based approach?

- 3: *The project is guided by human rights and incorporates the principles of accountability, meaningful participation, and non-discrimination in the project's strategy. The project upholds the relevant international and national laws and standards. Any potential adverse impacts on enjoyment of human rights were rigorously identified and assessed as relevant, with appropriate mitigation and management measures incorporated into project design and budget. (all must be true)*
- 2: The project is guided by human rights by prioritizing accountability, meaningful participation and non-discrimination. Potential adverse impacts on enjoyment of human rights were identified and assessed as relevant, and appropriate mitigation and management measures incorporated into the project design and budget. (both must be true)
- 1: No evidence that the project is guided by human rights. Limited or no evidence that potential adverse impacts on enjoyment of human rights were considered.

### Evidence:

El proyecto ha elaborado Social and Environmental Screening que incluye la revisión de riesgos potenciales asociados a los derechos humanos, que permite prever desde la planeación los posibles impactos adversos, siendo los analizados de bajo riesgo. El proyecto incorpora el principio general "que nadie se quede atrás", revisando a detalle la población objetivo del proyecto y el ámbito de intervención que incorpore a las poblaciones en condición de mayor vulnerabilidad.

### List of Uploaded Documents

| # | File Name   | Modified By           | Modified On         |
|---|---|-----------------------|---------------------|
| 1 | PPM_SESPprodCOVID19v.PAC060421_7985_107 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PPM_SESPprodCOVID19v.PAC060421_7985_107.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PPM_SESPprodCOVID19v.PAC060421_7985_107.docx</a> ) | irene.cauich@undp.org | 4/7/2021 2:59:00 AM |

8. Does the project use gender analysis in the project design?

- 3: A participatory gender analysis has been conducted and results from this gender analysis inform the development challenge, strategy and expected results sections of the project document. Outputs and indicators of the results framework include explicit references to gender equality, and specific indicators measure and monitor results to ensure women are fully benefitting from the project. (all must be true)
- 2: *A basic gender analysis has been carried out and results from this analysis are scattered (i.e., fragmented and not consistent) across the development challenge and strategy sections of the project document. The results framework may include some gender sensitive outputs and/or activities but gender inequalities are not consistently integrated across each output. (all must be true)*
- 1: The project design may or may not mention information and/or data on the differential impact of the project's development situation on gender relations, women and men, but the gender inequalities have not been clearly identified and reflected in the project document.

#### Evidence:

El enfoque de género se ha transversalizado desde el análisis en los árboles de problemas y de objetivos, y que han sido incorporados en la teoría de cambio y el marco de resultados y recursos del proyecto. Si bien, es un proyecto clasificado como Gen.2, el proyecto desde su Initiation Plan revisó las oportunidades para la participación activa de las mujeres en la recuperación económica, considerando aspectos como evitar la sobrecarga de trabajo y el estrés asociado a las prácticas de cuidado y de atención familiar derivada del confinamiento a causa del COVID 19.

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9. Did the project support the resilience and sustainability of societies and/or ecosystems?

- 3: *Credible evidence that the project addresses sustainability and resilience dimensions of development challenges, which are integrated in the project strategy and design. The project reflects the interconnections between the social, economic and environmental dimensions of sustainable development. Relevant shocks, hazards and adverse social and environmental impacts have been identified and rigorously assessed with appropriate management and mitigation measures incorporated into project design and budget. (all must be true)*
- 2: The project design integrates sustainability and resilience dimensions of development challenges. Relevant shocks, hazards and adverse social and environmental impacts have been identified and assessed, and relevant management and mitigation measures incorporated into project design and budget. (both must be true)
- 1: Sustainability and resilience dimensions and impacts were not adequately considered.

**Evidence:**

El enfoque del proyecto es la recuperación bajo el principio denominado recuperarse mejor (building back better), acuñado para recuperación de desastres convencionales y que recientemente se ha enunciado para el caso del COVID 19 como recuperarse de manera más justa (building back fairer), ambos consonantes con el principio general del plan estratégico de PNUD: Que nadie se quede atrás. Para asegurar que las acciones del proyecto aporten a la resiliencia, se ha realizado un análisis de riesgos que permita considerar desde la planeación aquellos que podrían afectarlos durante su implementación.\* Ver apartado Riesgos y Supuestos del proyecto p. 19 del PRODOC.

**List of Uploaded Documents**

| # | File Name  | Modified By           | Modified On         |
|---|--|-----------------------|---------------------|
| 1 | Anexo2.ANÁLISISDERIESGOSPRODOCCOVID19v.PAC0604_7985_109 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Anexo2.ANÁLISISDERIESGOSPRODOCCOVID19v.PAC0604_7985_109.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Anexo2.ANÁLISISDERIESGOSPRODOCCOVID19v.PAC0604_7985_109.docx</a> )  | irene.cauich@undp.org | 4/7/2021 4:11:00 AM |
| 2 | BuildingbackbettermeansbuildingbackfairerafterCOVID-19_InternationalInstituteforEnvironmentandDevelopment_7985_109 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/BuildingbackbettermeansbuildingbackfairerafterCOVID-19_InternationalInstituteforEnvironmentandDevelopment_7985_109.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/BuildingbackbettermeansbuildingbackfairerafterCOVID-19_InternationalInstituteforEnvironmentandDevelopment_7985_109.pdf</a> ) | irene.cauich@undp.org | 4/7/2021 3:54:00 AM |

10. Has the Social and Environmental Screening Procedure (SESP) been conducted to identify potential social and environmental impacts and risks? The SESP is not required for projects in which UNDP is Administrative Agent only and/or projects comprised solely of reports, coordination of events, trainings, workshops, meetings, conferences and/or communication materials and information dissemination. [if yes, upload the completed checklist. If SESP is not required, provide the reason for the exemption in the evidence section.]

- Yes  
 No  
 SESP not required because project consists solely of (Select all exemption criteria that apply)
- 1: Preparation and dissemination of reports, documents and communication materials
  - 2: Organization of an event, workshop, training
  - 3: Strengthening capacities of partners to participate in international negotiations and conferences
  - 4: Partnership coordination (including UN coordination) and management of networks
  - 5: Global/regional projects with no country level activities (e.g. knowledge management, inter-governmental processes)
  - 6: UNDP acting as Administrative Agent

**Evidence:**

Se analizaron 4 riesgos que podrían afectar al Proyecto, todos clasificados con el nivel bajo, dada su probabilidad de ocurrencia y posibles impactos:

A. Conflictos locales pre existentes en el ámbito de intervención podrían afectar las actividades del Proyecto.

B. Sobrecarga de trabajo de las mujeres al buscar su participación en las actividades del Proyecto, principalmente en seguridad alimentaria y en algunos sectores de economía social.

C. Ocurrencia de fenómenos naturales en las zonas de trabajo; huracanes, lluvias intensas, frentes fríos e inundaciones, sismos u otro fenómeno natural adverso, genera daños y pérdidas en la población, en coincidencia con la ejecución del Proyecto.

D. Contagios por COVID-19 en el personal del Proyecto que trabaja en terreno.

**List of Uploaded Documents**

| # | File Name  | Risk Category | Risk Requirements  | Document Status | Modified By           | Modified On         |
|---|--|---------------|--|-----------------|-----------------------|---------------------|
| 1 | PPM_SESP prodocCOV ID19 v.PAC 0604 21_7 985_1 10 (https://intranet.undp.org/apps/ProjectQA/QA/Form/Documents/PPM_SESPprodocCOVID19v.PAC060421_7985_110.docx) | Low           | Human Rights; Gender Equality and Women's Empowerment; Climate Change Mitigation and Adaptation; Community Health, Safety and Working Conditions; Indigenous Peoples | Final           | irene.cauich@undp.org | 4/7/2021 4:14:00 AM |

**Management & Monitoring****Quality Rating:**

11. Does the project have a strong results framework?



- 3: *The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators that measure the key expected development changes, each with credible data sources and populated baselines and targets, including gender sensitive, target group focused, sex-disaggregated indicators where appropriate. (all must be true)*
- 2: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators, but baselines, targets and data sources may not yet be fully specified. Some use of target group focused, sex-disaggregated indicators, as appropriate. (all must be true)
- 1: The project's selection of outputs and activities are not at an appropriate level; outputs are not accompanied by SMART, results-oriented indicators that measure the expected change and have not been populated with baselines and targets; data sources are not specified, and/or no gender sensitive, sex-disaggregation of indicators. (if any is true)

**Evidence:**

El proyecto cuenta con un marco de resultados, en los que se ha revisado el cada indicador de modo que puedan medir los cambios generados por el proyecto. La evaluación de afectaciones y necesidades aplicadas a nivel comunidad y por sector de economía social permiten establecer las líneas de base del proyecto y definir las metas. Como se ha mencionado, este proyecto tiene el marcador GEN2, mismo que ha quedado expresado en el marco de resultados. \*Ver pp. 26 - 28 del PRODOC.

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| #                                 | File Name   | Modified By           | Modified On         |
| 1                                 | V.MarcodesResultados_7985_111 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/V.MarcodesResultados_7985_111.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/V.MarcodesResultados_7985_111.docx</a> ) | irene.cauich@undp.org | 4/7/2021 5:07:00 AM |

12. Is the project's governance mechanism clearly defined in the project document, including composition of the project board?

- 3: *The project's governance mechanism is fully defined. Individuals have been specified for each position in the governance mechanism (especially all members of the project board.) Project Board members have agreed on their roles and responsibilities as specified in the terms of reference. The ToR of the project board has been attached to the project document. (all must be true)*
- 2: The project's governance mechanism is defined; specific institutions are noted as holding key governance roles, but individuals may not have been specified yet. The project document lists the most important responsibilities of the project board, project director/manager and quality assurance roles. (all must be true)
- 1: The project's governance mechanism is loosely defined in the project document, only mentioning key roles that will need to be filled at a later date. No information on the responsibilities of key positions in the governance mechanism is provided.

**Evidence:**

La Junta de Proyecto ha quedado expresada dentro de la sección VIII. Arreglos de Gestión y Gobernancia y en el organigrama del proyecto. Con motivo del PAC se ha convocado a un panel de expertos en temas de desarrollo para configurar la Junta de Proyecto. \*Ver pp. 43-50 del PRODOC.

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|---|--|-----------------------|---------------------|
| 1 | VIII.ArreglosdeGestiónyGobernanza_7985_112 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/VIII.ArreglosdeGestiónyGobernanza_7985_112.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/VIII.ArreglosdeGestiónyGobernanza_7985_112.docx</a> ) | irene.cauich@undp.org | 4/7/2021 5:24:00 AM |

13. Have the project risks been identified with clear plans stated to manage and mitigate each risk?

- 3: *Project risks related to the achievement of results are fully described in the project risk log, based on comprehensive analysis drawing on the programme's theory of change, Social and Environmental Standards and screening, situation analysis, capacity assessments and other analysis such as funding potential and reputational risk. Risks have been identified through a consultative process with key internal and external stakeholders, including consultation with the UNDP Security Office as required. Clear and complete plan in place to manage and mitigate each risk, including security risks, reflected in project budgeting and monitoring plans. (both must be true)*
- 2: Project risks related to the achievement of results are identified in the initial project risk log based on a minimum level of analysis and consultation, with mitigation measures identified for each risk.
- 1: Some risks may be identified in the initial project risk log, but no evidence of consultation or analysis and no clear risk mitigation measures identified. This option is also selected if risks are not clearly identified, no initial risk log is included with the project document and/or no security risk management process has taken place for the project.

**Evidence:**

Se ha realizado un análisis detallado de los riesgos en terreno, basados en la teoría de cambio del proyecto y la experiencia de los aliados y socios en terreno, que permitieron integrar la matriz de riesgos y el SESP del proyecto. Además, se aprovechó la visita de UNDSS para revisar las denominadas áreas de riesgo y el semáforo correspondiente a zonas de conflicto quedando en su mayoría todas en verde y amarillo.

**List of Uploaded Documents**

| # | File Name   | Modified By           | Modified On         |
|---|---|-----------------------|---------------------|
| 1 | PPM_SESPprodocCOVID19v.PAC060421_7985_113 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PPM_SESPprodocCOVID19v.PAC060421_7985_113.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PPM_SESPprodocCOVID19v.PAC060421_7985_113.docx</a> )   | irene.cauich@undp.org | 4/7/2021 5:37:00 AM |
| 2 | Anexo2.ANÁLISISDERIESGOSPRODOCCOVID19v.PAC0604_7985_113 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Anexo2.ANÁLISISDERIESGOSPRODOCCOVID19v.PAC0604_7985_113.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Anexo2.ANÁLISISDERIESGOSPRODOCCOVID19v.PAC0604_7985_113.docx</a> ) | irene.cauich@undp.org | 4/7/2021 5:26:00 AM |

**Efficient****Quality Rating:**

14. Have specific measures for ensuring cost-efficient use of resources been explicitly mentioned as part of the project design? This can include, for example:

- i) Using the theory of change analysis to explore different options of achieving the maximum results with the resources available.
- ii) Using a portfolio management approach to improve cost effectiveness through synergies with other interventions.
- iii) Through joint operations (e.g., monitoring or procurement) with other partners.
- iv) Sharing resources or coordinating delivery with other projects.
- v) Using innovative approaches and technologies to reduce the cost of service delivery or other types of interventions.

- Yes  
 No

**Evidence:**

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| #                          | File Name | Modified By | Modified On |
| No documents available.    |           |             |             |

15. Is the budget justified and supported with valid estimates?

- 3: *The project's budget is at the activity level with funding sources, and is specified for the duration of the project period in a multi-year budget. Realistic resource mobilisation plans are in place to fill unfunded components. Costs are supported with valid estimates using benchmarks from similar projects or activities. Cost implications from inflation and foreign exchange exposure have been estimated and incorporated in the budget. Adequate costs for monitoring, evaluation, communications and security have been incorporated.*
- 2: The project's budget is at the activity level with funding sources, when possible, and is specified for the duration of the project in a multi-year budget, but no funding plan is in place. Costs are supported with valid estimates based on prevailing rates.
- 1: The project's budget is not specified at the activity level, and/or may not be captured in a multi-year budget.

**Evidence:**

| List of Uploaded Documents |           |             |             |
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| #                          | File Name | Modified By | Modified On |
| No documents available.    |           |             |             |

16. Is the Country Office / Regional Hub / Global Project fully recovering the costs involved with project implementation?

- 3: *The budget fully covers all project costs that are attributable to the project, including programme management and development effectiveness services related to strategic country programme planning, quality assurance, pipeline development, policy advocacy services, finance, procurement, human resources, administration, issuance of contracts, security, travel, assets, general services, information and communications based on full costing in accordance with prevailing UNDP policies (i.e., UPL, LPL.)*
- 2: The budget covers significant project costs that are attributable to the project based on prevailing UNDP policies (i.e., UPL, LPL) as relevant.
- 1: The budget does not adequately cover project costs that are attributable to the project, and UNDP is cross-subsidizing the project.

**Evidence:**

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**Effective**

**Quality Rating:**

17. Have targeted groups been engaged in the design of the project?

- 3: *Credible evidence that all targeted groups, prioritising discriminated and marginalized populations that will be involved in or affected by the project, have been actively engaged in the design of the project. The project has an explicit strategy to identify, engage and ensure the meaningful participation of target groups as stakeholders throughout the project, including through monitoring and decision-making (e.g., representation on the project board, inclusion in samples for evaluations, etc.)*
- 2: Some evidence that key targeted groups have been consulted in the design of the project.
- 1: No evidence of engagement with targeted groups during project design.
- Not Applicable

**Evidence:**

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18. Does the project plan for adaptation and course correction if regular monitoring activities, evaluation, and lesson learned demonstrate there are better approaches to achieve the intended results and/or circumstances change during implementation?

- Yes
- No

**Evidence:**

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19. The gender marker for all project outputs are scored at GEN2 or GEN3, indicating that gender has been fully mainstreamed into all project outputs at a minimum.

- Yes  
 No

**Evidence:****List of Uploaded Documents**

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**Sustainability & National Ownership****Quality Rating:**

20. Have national / regional / global partners led, or proactively engaged in, the design of the project?

- 3: National partners (or regional/global partners for regional and global projects) have full ownership of the project and led the process of the development of the project jointly with UNDP.  
 2: *The project has been developed by UNDP in close consultation with national / regional / global partners.*  
 1: The project has been developed by UNDP with limited or no engagement with national partners.

**Evidence:****List of Uploaded Documents**

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21. Are key institutions and systems identified, and is there a strategy for strengthening specific / comprehensive capacities based on capacity assessments conducted?

- 3: The project has a strategy for strengthening specific capacities of national institutions and/or actors based on a completed capacity assessment. This strategy includes an approach to regularly monitor national capacities using clear indicators and rigorous methods of data collection, and adjust the strategy to strengthen national capacities accordingly.  
 2: A capacity assessment has been completed. There are plans to develop a strategy to strengthen specific capacities of national institutions and/or actors based on the results of the capacity assessment.  
 1: Capacity assessments have not been carried out.  
 Not Applicable

**Evidence:**

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22. Is there is a clear strategy embedded in the project specifying how the project will use national systems (i.e., procurement, monitoring, evaluations, etc.) to the extent possible?

- Yes  
 No  
 Not Applicable

**Evidence:**

Se cuenta con el plan de adquisiciones, el plan de contrataciones y las herramientas corporativas de evaluación que conforman parte del plan de Monitoreo y de Evaluación que se aplicarán durante la vida del proyecto. \*Ver pp. 29-30 del PRODOC.

**List of Uploaded Documents**

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| 1 | VII.PlandeMonitoreoyPlandeEvaluación_7985_122 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/VII.PlandeMonitoreoyPlandeEvaluación_7985_122.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/VII.PlandeMonitoreoyPlandeEvaluación_7985_122.docx</a> ) | irene.cauich@undp.org | 4/7/2021 5:01:00 AM |

23. Is there a clear transition arrangement / phase-out plan developed with key stakeholders in order to sustain or scale up results (including resource mobilisation and communications strategy)?

- Yes  
 No

**Evidence:**

El proyecto se centra en inversiones directas para 3 000 familias de 100 comunidades en seguridad alimentaria y seguridad hídrica; así como para fortalecer 60 empresas sociales correspondientes los sectores de economía social y consolidar las redes y alianzas estratégicas para la recuperación resiliente con socios y aliados identificados por el proyecto. Los resultados del proyecto de corta duración (18 a 24 meses) se diseñan para su sostenibilidad sin la presencia del PNUD. En lo operativo se tendrán un inventario muy acotado con pocos equipos que se transferirán de acuerdo a las políticas del PNUD.

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**QA Summary/LPAC Comments**